Freie Universität Berlin

Electronic Resources – Consortia, Cooperation, and Acquisition Schemes

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Erasmus+ International Week 2016
Freie Universität Berlin

International Affairs: Enhancing Students and Staff Exchange
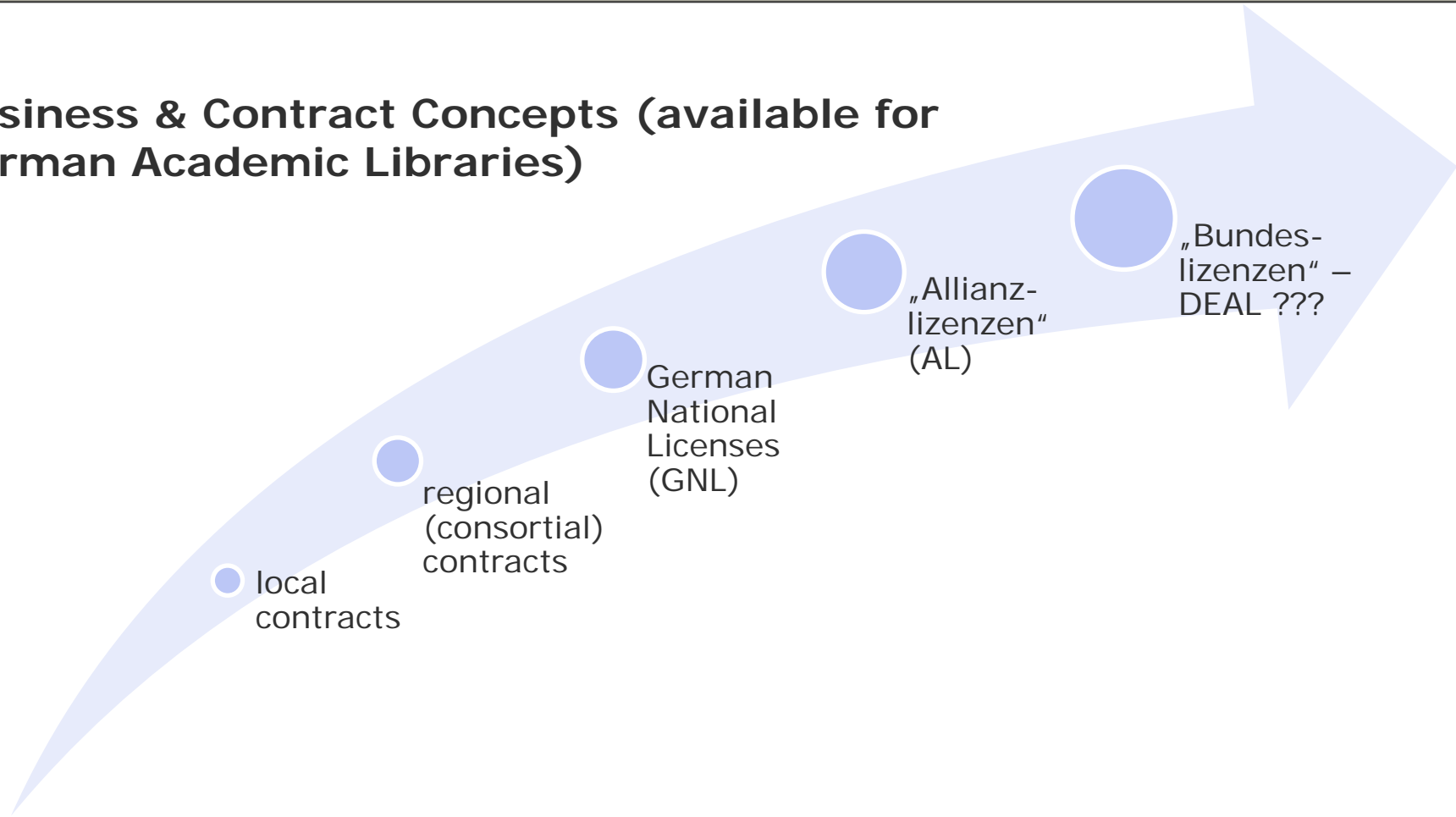Library: Libraries in Motion - Structures and Services
27 June - 01 July, 2016

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Agenda

- ➢ Basics
- ➢ Consortial structures
- ➢ Local stage / settings
- ➢ How the work is done
- ➢ Cooperation, collection management & acquisition schemes - conclusions

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Basics

Business & Contract Concepts (available for German Academic Libraries)

"Bundes-lizenzen" – DEAL ???

"Allianz-lizenzen" (AL)

German National Licenses (GNL)

regional (consortial) contracts

local contracts

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Basics

local contracts

- smaller suppliers
- (normally) small financial amount
- (often) no consortia available

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Basics

> **regional (consortial) contracts**

- "classic" for many contracts (databases, serial publ.)
- even high level financial amounts

e.g.:

ELSEVIER

Basics

German National Licenses (GNL)

https://www.nationallizenzen.de/

- 100%-funded by Deutsche Forschungsgemeinschaft (DFG)
- mostly completed collections
- mostly perpetual access

e.g.:

Electronic Resources – Consortia, Cooperation, and Acquisition Schemes

Basics

"Allianzlizenzen" (AL)

- DFG-funded (optional: 25%); opt-in-model
- more ambitious; follwing & widening the GNL-concept now for current serial publ.
- national impact intended
- aiming at better contracts (standardisation), incl. better DRM-concepts, archiving ...
- OA-components

e.g.: DE G DE GRUYTER

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Basics

"Bundeslizenzen" -
DEAL

- aiming at one single nationwide contract (pilot project)
- negotiations with big "3" (Elsevier, Springer Nature, Wiley) in 2016
- getting more for less??
- winners & losers between libraries?!
- offsetting-model
- strong OA-component
- "opportunity to fail"
- transitional central funding!?

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Consortial structures

GASCO (German, Austrian and Swiss Consortia Organisation)

… bundles the regional consortial activities in Germany / Austria / Swiss. It forms a platform for the strategic cooperation in the joint acquisition of electronic journals, databases and e-books for libraries.

https://www.hbz-nrw.de/produkte/digitale-inhalte/gasco

Consortial structures

nearly 500 "products" ...
(from "17th - 18th Century Burney Collection Newspapers" / Gale
 up to "Zoological Record" / Thomson Reuters)

GASCO Produktübersicht

- ⦿ Alle Produkte
- ○ Nationallizenzen
- ○ Nach Konsortialführer   [Filtern]

Gehe zu: [Alle] [0-9] [A-E] [F-J] [K-O] [P-T] [U-Z]

Anfangszeichen (max. 3): [____] [Anzeigen]

Produkt ↑	Information Provider
17th - 18th Century Burney Collection Newspapers / BBCN	Gale Cengage
18th Century Collections Online	Gale Cengage
18th Century Journals	Matthew
18th/19th/20th Century House of Commons Parliamentary Papers 1688-2004	ProQuest
19th Century British Library Newspapers / BNCN	Gale Cengage
20th Century North American Drama	Alexander Street Press
ABI INFORM global	ProQuest
Academic Search Databases	EBSCO

Consortial structures

Consortia / consortial types / consortial leaders:

|	
Allianz	Max-Planck-Gesellschaft
Baden-Württemberg	Mecklenburg-Vorpommern
Bayern	Niedersachsen
BMEL	Nordrhein-Westfalen
Bremen	Österreich
Fraunhofer-Gesellschaft	Rheinland-Pfalz
Friedrich-Althoff-Konsortium	Saarland
Goportis-KL	Sachsen
Hamburg/Schleswig-Holstein	Sachsen-Anhalt
Helmholtz-Gemeinschaft	Schweiz
Hessen	Sonstige
Leibniz-Gemeinschaft	Thüringen

Consortial structures

e.g.:

Taylor & Francis Journals 🏠
Taylor & Francis

Konsortium	Teilnehmer	
Baden-Württemberg	8	📊
BMEL	6	📊
Friedrich-Althoff-Konsortium	9	📊
Schweiz	15	📊

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Consortial structures

Friedrich-Althoff-Konsortium

GASCO
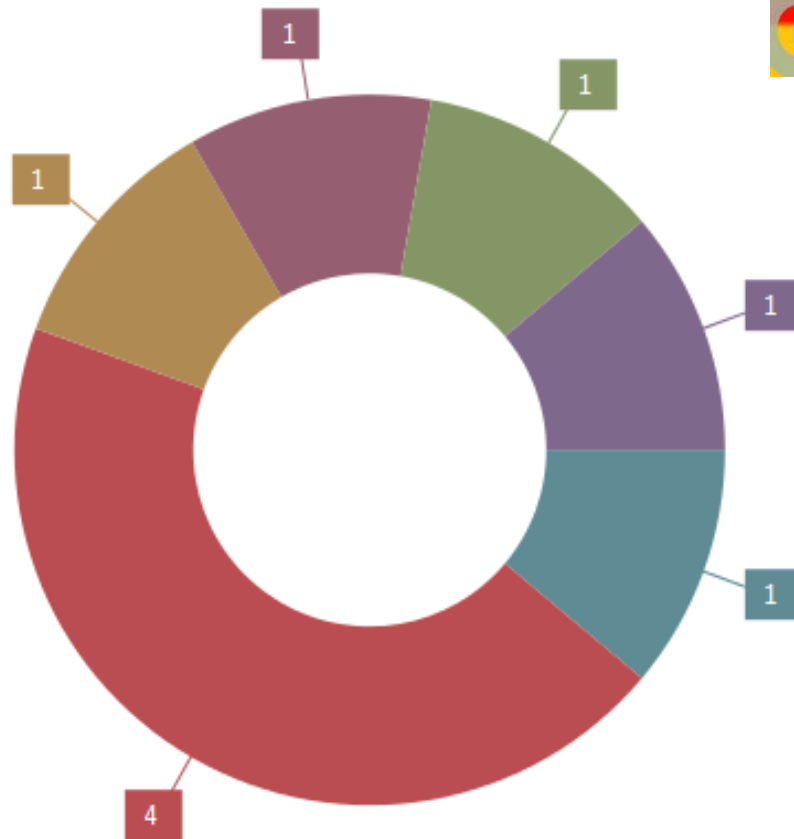
- Bremen
- Friedrich-Althoff-Konsortium
- Hessen
- Nordrhein-Westfalen
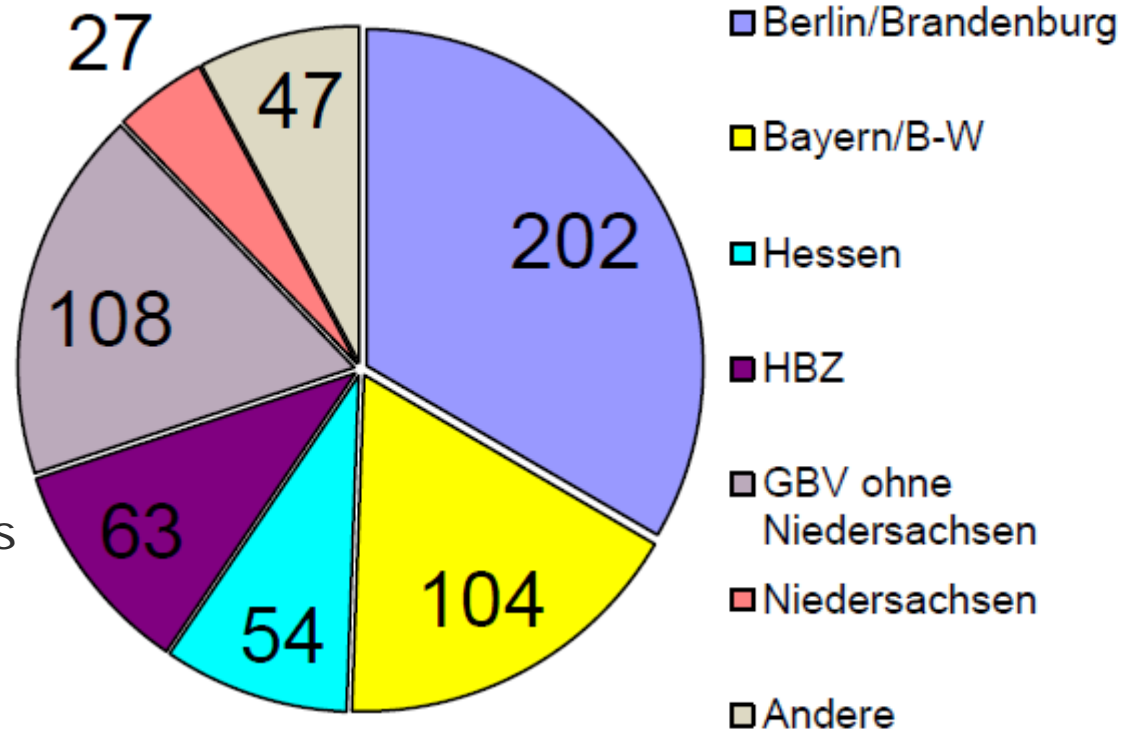- BMEL
- Hamburg/Schleswig-Holstein

e.g.:
Taylor & Francis Journals

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Consortial structures

FRIEDRICH
ALTHOFF
KONSORTIUM

2016:
managing 32 contracts
with 605 holdings
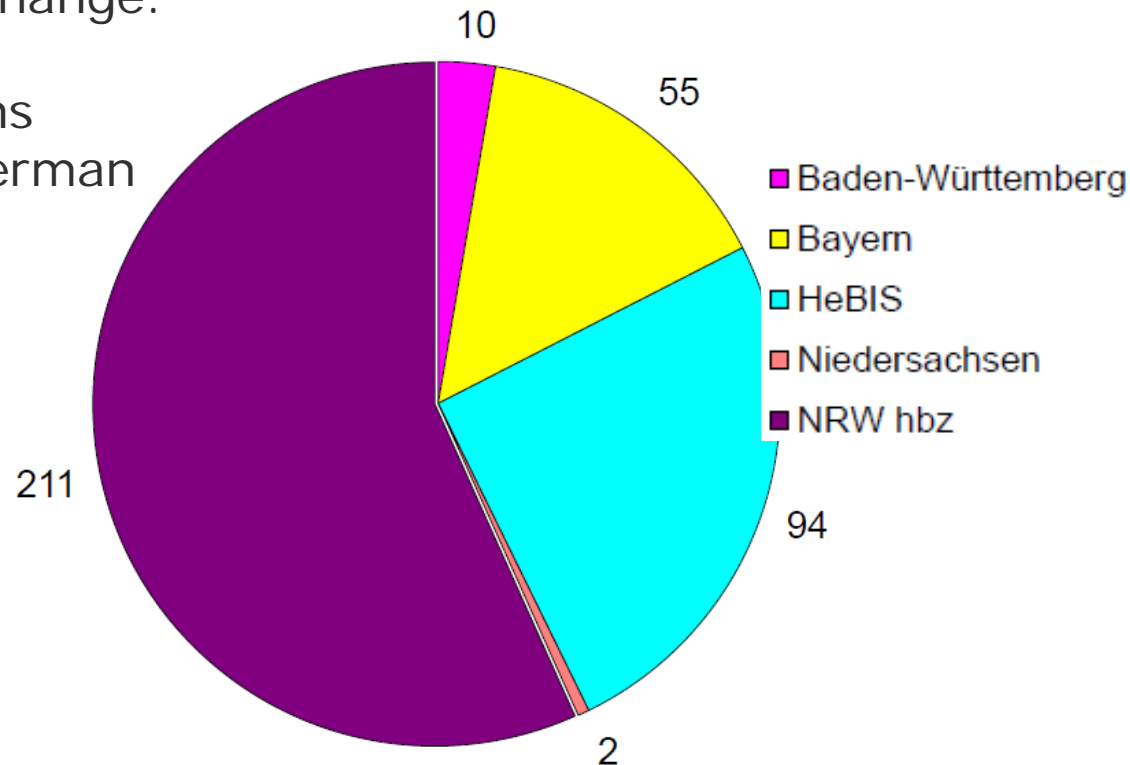(participating institutions)

FAK
contract holdings
(2016)

Pie chart:
- Berlin/Brandenburg: 202
- Bayern/B-W: 104
- Hessen: 54
- HBZ: 63
- GBV ohne Niedersachsen: 108
- Niedersachsen: 27
- Andere: 47

Consortial structures

FRIEDRICH ALTHOFF KONSORTIUM

(Increase of) consortial interchange:

10 years ago: 89 participations on 35 contracts from other German consortia

2015: 372 participations on 141 contracts from other German consortia!

Pie chart values: 10, 55, 94, 2, 211

- Baden-Württemberg
- Bayern
- HeBIS
- Niedersachsen
- NRW hbz

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Consortial structures

financial volume (all contracts)

2015: 13,5 Mio. EUR

2016: approx. 15 Mio. EUR
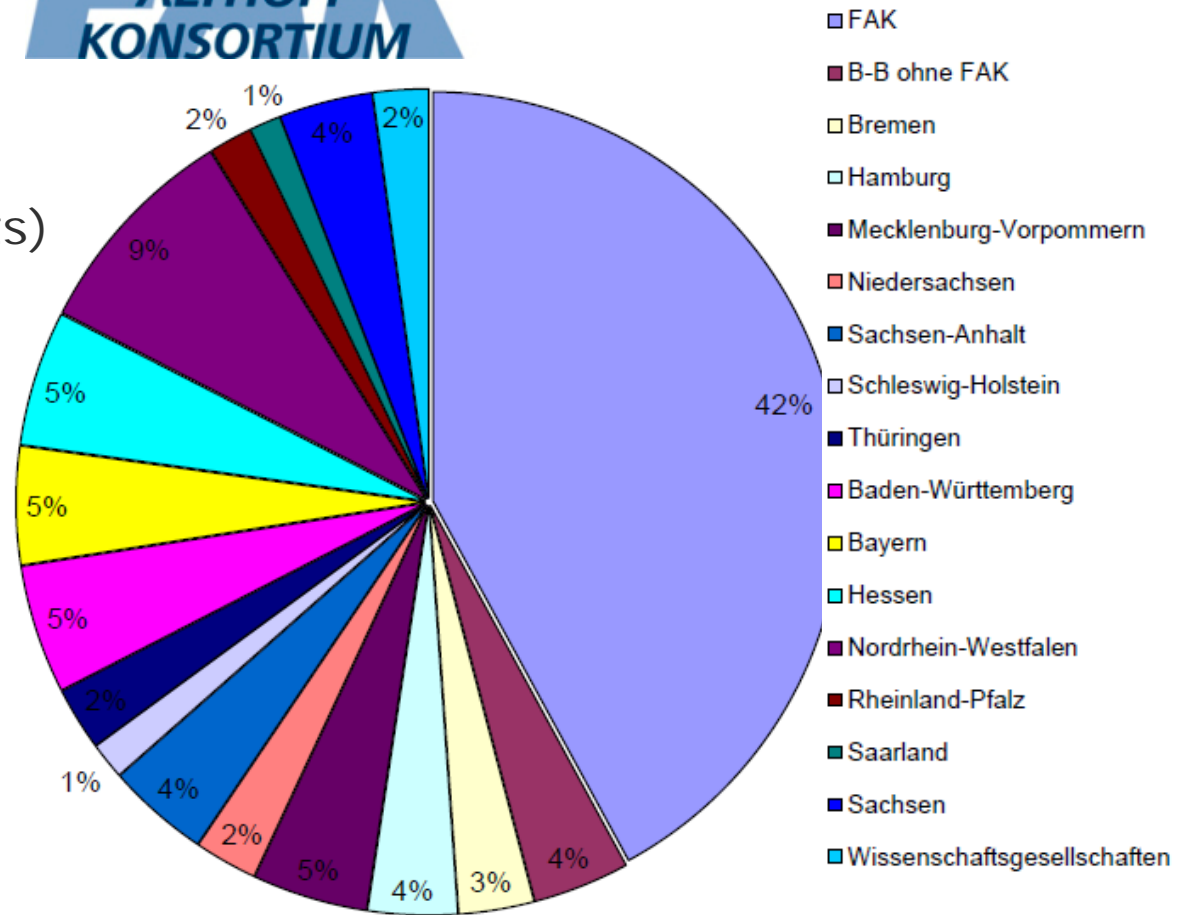
Consortial structures

Going consortial - Pros & Cons

| PRO	CONTRA
• strengthen lib's bargaining position	• high administrative workload
• discounts	• loss of indiv. independence
• help & coop. during active use	• (sometimes) high financial hurdles for smaller libs
• better position in case of reclamation, service break, breach	
• cross & add. access (opt.)	

Local stage / settings

Finding the right way to manage
e-resources in your library (system) …

Local stage / settings
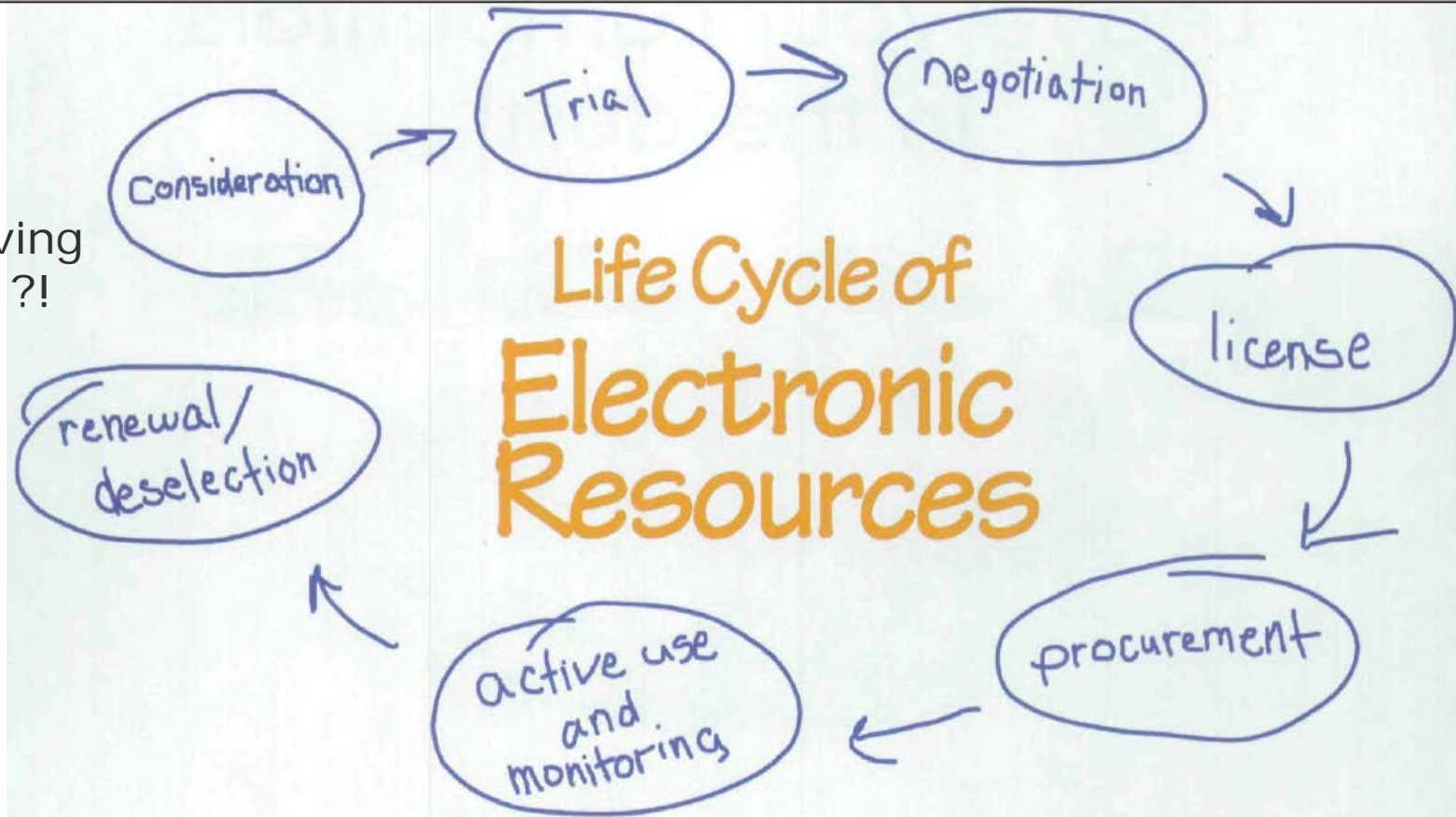
… can be moving
    in circles … ?!

Source: Breeding, Marshall: Helping You Buy Electronic Resource Management Systems. In: Computers in Libraries 28 (2008), Nr. 7, p. 10

Local stage / settings

Local infrastructure

FU Berlin library system is a **two tier system cooperation** (University Library / UB - Faculty Libraries)

… with distinct budgets!

"Big interdisciplinary contracts" (like Journals from Springer, Elsevier, Wiley, databases like Web of Science …) are always funded by UB and varied fac. libs in cooperation

Local stage / settings

(Selected)
Main (collection)
tasks:

Univ. Lib. (UB):
e-resources, reference literature, bibliographies, encyclopedias, source works, basic research literature, and interdisciplinary literature, library management system(s)

Fac. Lib.:
specialized literary, literature of specific relevance

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Local stage / settings

Fact sheet:

holdings: nearly 8 mio. items
databases: approx. 1.800 (50% licensed material)
e-Journals: 64.500 (approx. 50% licensed material)
e-books: approx. 700.000

Local stage / settings

(Trad.) „Toolbox"

OPAC

Link Resolver

Portalsoftware

Inst. Repository

ERMS

Library System

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Local stage / settings

Library System

Link Resolver

ERMS
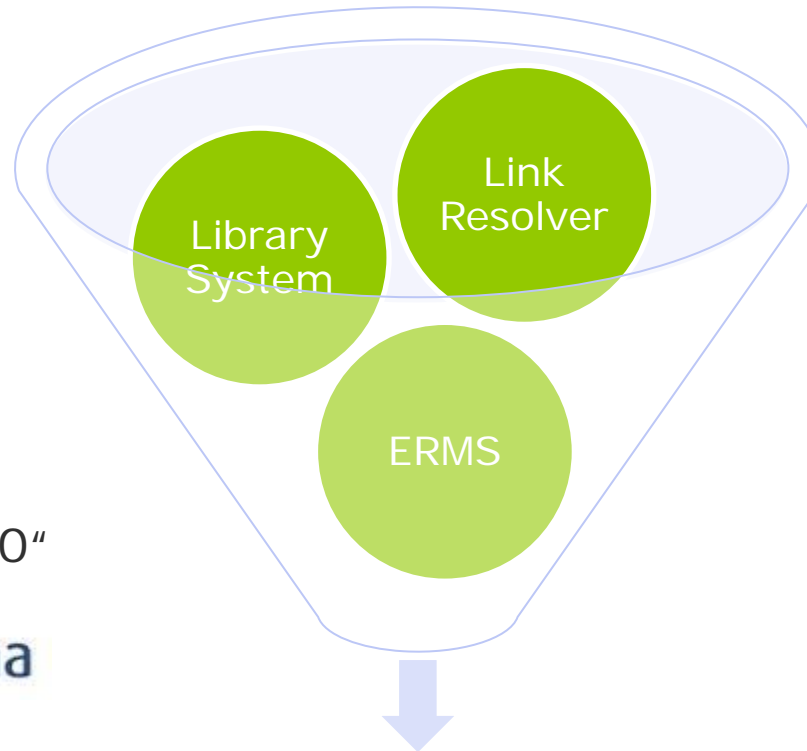
Lib's „back office 2.0"

e.g.: ExLibris Alma

Unified Resource Management (URM)

Local stage / settings

Lib's „front end 2.0"

e.g.: **ExLibris** Primo

Primo Central

OPAC

Portal-software
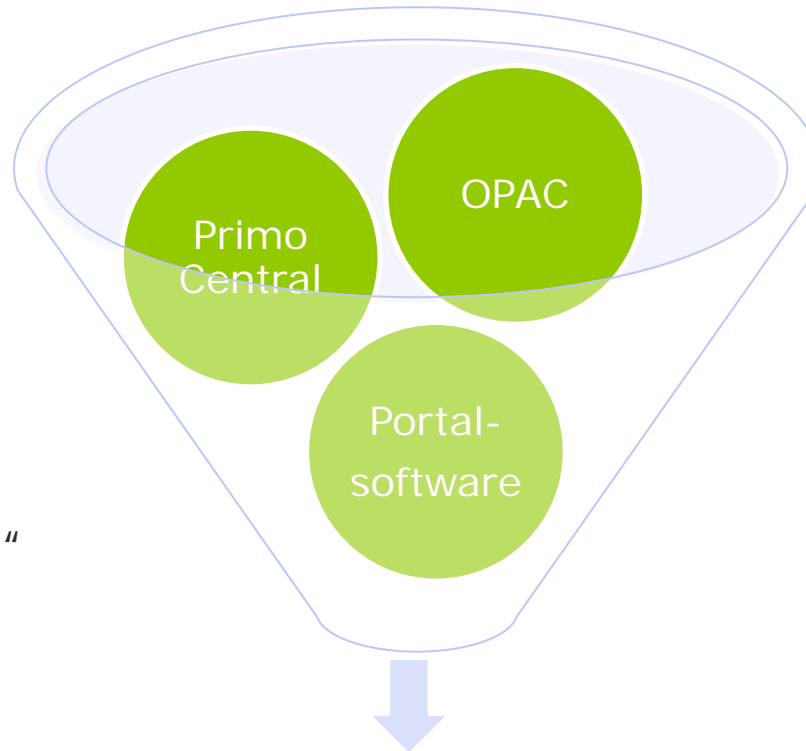
Discovery & Delivery /
One-stop-shop

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How the work is done

Notification of a new e-resource (database, e-journal-collection, platform ...):

→ students, academic staff, researchers, librarians, proposals from consortia, „Allianzlizenz"-offering ...

UB:
- checking consortial options
- managing trial (admin, information, activation, deactivation, evaluation)
- correspondence & interaction (publisher, agency, fac. lib., consortia)
- financial consideration / coordination
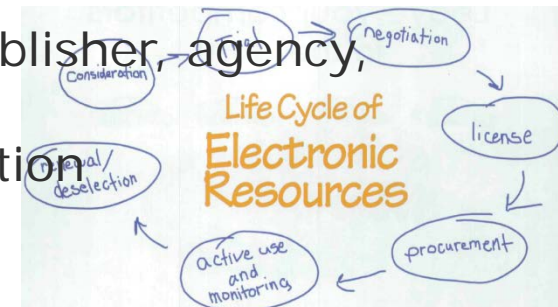
How the work is done

- getting into a good bargaining position (experiences with provider, earlier contracts, statistics)
- market analysis
- comparing prices
- discussing business & licensing model with consortium (banding, FTEs, DRM …)
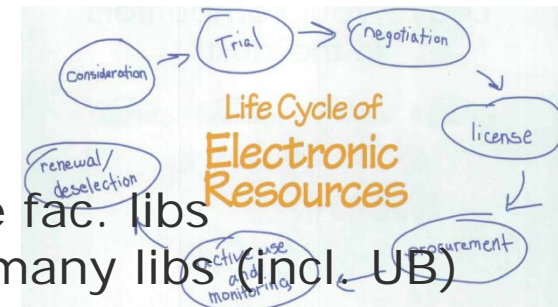- discussing & finding financial solutions (in coop. with fac. libraries) - depends on resource type

common scheme:
very specialised = 100% fac. lib.
specialised = 70% fac. lib. / 30% UB
more general = 50% UB plus 1 or more fac. libs
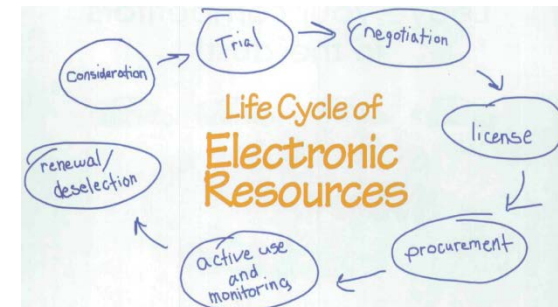very common = 100% UB or split into many libs (incl. UB)

How the work is done

UB tasks:

- checking, signing & filing of licenses
- contract management / documentation → ERMS-Workflow

How the work is done

UB tasks:

- (splitting) invoices, internal accounting
- admin-data
- contact data (supplier, consortium)
- cataloguing (if not single item, like e-book), holding information & presentation
- organise link resolving (loading, activation)
- inform FU staff and librarians concerning new resource (e-mail & blog posting)
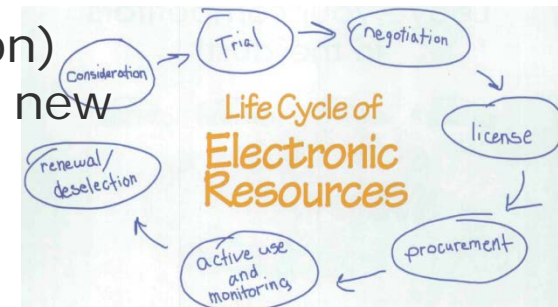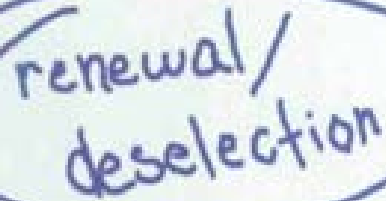
How the work is done

UB tasks:

- organising training sessions (for lib's staff, students & scientists)
- react on service breaks & problems with use (clearing, information, reclamation, error management …)
- documentation of problems
- handling abuse scenarios
- obtain and evaluate usage stats (no systematic eval., but case orientated)
- keeping in touch with vendor
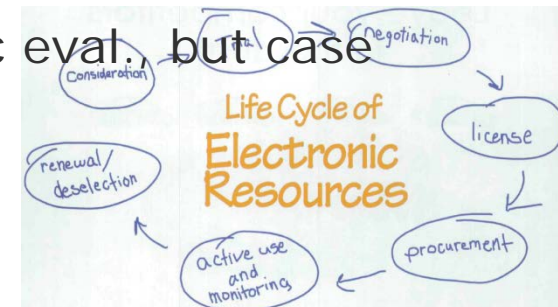
How the work is done

UB tasks:

- talk to vendor
- checking consortial settings
- checking business & licensing conditions
- market analysis (alternative products)
- comparing prices
- checking usage stats
- (in some circumstances) signing new contract / amendment
- (in some circumstances) finding (new) solutions on divide of costs
- (in some circumstances) deactivate all representations with connection to public retrieval
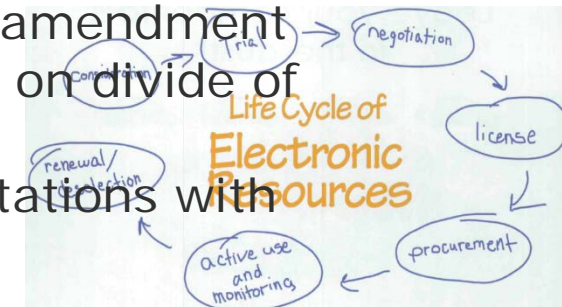
Cooperation, collection management & acquisition schemes - conclusions

60 to 70% of our (UB-)acquisitions budget is invested for electronic resources (most of it in ongoing subscriptions); slightly increasing trend

80% of our contracts for ongoing subscriptions are consortial / "Allianzliz." or GNL contracts

Cooperation, collection management & acquisition schemes - conclusions

Finding and defining global strategies for acquiring and presenting electronic resources in a two tier library system is difficult
(→ … independent budgets)

UB has to coordinate and mediate between "customer requirements" and the "market";
fac. libs have to bring in their content expertise (and often the money!)

Electronic resources are strong drivers of interlibrary cooperation - this is true in a national and regional perspective and even more for the local level!

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Cooperation, collection management & acquisition schemes - conclusions

P vs. E-discussion is still vehement for monographs (if you ask your customers, they will tend for a strong "as well … as"; they like to have both, but you can spend the EURO only once …)

(E-Journals & Databases are not longer questioned in any way)

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Cooperation, collection management & acquisition schemes - conclusions

Excursus on (e-)monographs

good arguments for …

- reference works
- monogr. with definable essays
- literature in German(!)

Some tendencies:

- buying & permanent use rather than lending & subscription models
- pick & choose rather than packages & "big deal"
- (if available) choosing publisher's platforms rather than aggregator platforms → better DRM, but often higher effort (workload)

Cooperation, collection management & acquisition schemes - conclusions

Excursus on (e-)monographs

UB has made intense experiences with a wide range of business models for many years and has established corresponding workflows …

- packages
- pick & choose
- patron / demand driven acquisition (PDA / DDA)
- evidence based selection (EBS)

UB defined a quota for acquiring e-books (budgets of each subject librarian) that should be at 20% of monogr. budget (meant to boost e-book acquisition in some subject areas)

"In the end, it is all a bit of a gamble, but luck does tend to favor the prepared."

Collins, Maria: Evolving Workflows: Knowing when to Hold'em, Knowing when to Fold'em', The Serials Librarian, 57: 3, p. 261-271

Thank You!

Questions, comments …?

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